



POLICY:
FORMULATION
&
IMPLEMENTATION

POLICY PROCESS

- WHY/WHAT FOR?
- CONTEXT?
- WHAT?
- HOW?
- WHEN/BY WHOM?
- IS IT WORKING?



AMEND.



1. WHY/WHAT FOR?

- STATEMENT OF INTENT
- PRINCIPLES
- VALUES
- DEFICIENCIES
- CHANGE
- COMMITMENT



2. CONTEXT?

- PROBLEM IDENTIFICATION
- STATEMENT OF ISSUES
- CONSTRAINTS
- OPPORTUNITIES



3. WHAT?

- SITUATION ANALYSIS
- INTENDED EFFECTS
- UNINTENDED EFFECTS



4. HOW/WHEN/BY WHOM??

IMPLEMENTATION PLANNING



5. IS IT WORKING?

MONITORING

&

EVALUATION

FRAMEWORK



THE PROCESS

- STATEMENT OF INTENT/POINTS OF DEPARTURE
- STATEMENT OF ISSUES
- GOALS AND OBJECTIVES
- POLICY/SITUATION ANALYSIS
- IMPLEMENTATION PLAN
- MONITORING AND EVALUATION



PROCESS COMMENTS

- CONSULTATION
 - PUBLICITY/AWARENESS/INVOLVEMENT – EACH STEP
- POLICY ANALYSIS
 - CONSEQUENCES
- IMPLEMENTATION PLAN
 - ACTIVITIES, TIMELINES, COSTS , RESPONSIBILITIES, EXPECTED RESULTS



PROCESS COMMENTS

IMPLEMENTATION PLAN **MUST**:

- ADDRESS ALL GOALS/OBJECTIVES DIRECTLY
- BE COMPREHENSIVE
- HAVE INTERNAL LOGIC
- BE CLEAR
- SET TIMELINES, RESOURCE REQUIREMENTS AND RESPONSIBILITIES
- LEAD TO OPERATIONAL/WORK PLANNING



POLICY

INTENDED





POLICY

UNREALISED





POLICY

DELIBERATE





POLICY

EMERGENT





POLICY

REALISED



POLICY

